

# EXHIBIT AA

SFC C.E number: AAC298



## 账户月结单

郑博

结单日期: 2015年02月28日

客户编号: 1975

户口类别: 孖展户

经纪编号: AE611

页数: 1/8

帐户名称: 郑博

交易日期	交收日期	参考编号	事项细节	金额	账面结余	结算日结余
<b>人民币 (CNY)</b>						
31/01	31/01		承前结余:-		127.50	127.50
13/02	16/02	702362891	卖出 601668 中国建筑 股数 80,000 平均价 5.9450	474,320.39	474,447.89	474,447.89
16/02	16/02	703189090	外汇外币转换 兑换價 6.2655	(474,447.87)	0.02	0.02
25/02	26/02	706567661	卖出 601186 中国铁建 股数 50,000 平均价 12.7020	633,425.35	633,425.37	633,425.37
26/02	26/02	707367850	外汇外币转换 兑换價 6.289	(633,425.37)	0.00	0.00
28/02	28/02		转后结余:-		415,902.14	0.00
<b>港币 (HKD)</b>						
31/01	31/01		承前结余:-		0.19	0.19
04/02	04/02	69747073	进/支: 征费项目 SH A SHARES PORTFOLIO FEE FOR JAN 2015 = (11.65)	(11.65)	(11.46)	(11.46)
12/02	16/02	701712481	卖出 00342 新海能源集团有限公司 股数 60,000 平均价 2.8200	168,678.57	168,667.11	168,667.11
16/02	16/02	703189210	外汇外币转换 兑换價 7.7605	(168,667.11)	0.00	0.00
28/02	28/02		转后结余:-		0.00	0.00
<b>美元 (USD)</b>						
31/01	31/01		承前结余:-		1,464.99	78,622.93
28/01	02/02	693005181	买入 MU MICRON TECHNOLOGY INC 股数 3,000 平均价 29.8500	(89,657.46)	(69,905.09)	(11,034.53)
28/01	02/02	693005201	买入 MU MICRON TECHNOLOGY INC 股数 2,000 平均价 30.0000	(60,072.00)	(129,977.09)	(71,106.53)
28/01	02/02	693005191	卖出 FSL FREESCALE SEMICONDUCTOR LTD 股数 4,000 平均价 32.9000	131,442.08	1,464.99	60,335.55

香港花園道1號中銀大廈20樓

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SFC C.E number: AAC298



客戶編號: 1975-

結單日期: 2015年02月28日

頁數: 2/8

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29/01	03/02	693810481	購入 MU MICRON TECHNOLOGY INC 股數 2,000 平均價 29.4000	(58,870.56)	1,464.99	1,464.99
10/02	13/02	700951931	購入 DNDNQ DENDREON CORP 股數 50,000 平均價 0.1666	(8,355.00)	(353,550.51)	(6,890.01)
10/02	13/02	700951951	購入 DNDNQ DENDREON CORP 股數 30,000 平均價 0.1790	(5,396.25)	(358,946.76)	(12,286.26)
10/02	13/02	700951961	購入 SYF SYNCIRONY FINANCIAL 股數 1,000 平均價 32.7180	(32,757.26)	(391,704.02)	(45,043.52)
10/02	13/02	700951941	賣出 MU MICRON TECHNOLOGY INC 股數 5,000 平均價 29.6500	148,072.10	(243,631.92)	103,028.58
10/02	13/02	700951971	賣出 MU MICRON TECHNOLOGY INC 股數 5,000 平均價 29.8000	148,821.20	(94,810.72)	251,849.78
16/02	16/02	703189090	外匯外幣轉換 兌換價 6.2655	75,723.86	(19,086.86)	327,573.64
16/02	16/02	703189210	外匯外幣轉換 兌換價 7.7605	21,734.05	2,647.19	349,307.69
11/02	17/02	701592261	購入 DNDNQ DENDREON CORP 股數 20,000 平均價 0.0478	(981.00)	205,381.26	348,326.69
11/02	17/02	701592271	購入 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0540	(2,725.00)	202,656.26	345,601.69
11/02	17/02	701592281	購入 FSL FREESCALE SEMICONDUCTOR LTD 股數 3,000 平均價 34.0375	(102,235.14)	100,421.12	243,366.55
11/02	17/02	701592291	購入 FSL FREESCALE SEMICONDUCTOR LTD 股數 2,000 平均價 34.2000	(68,482.08)	31,939.04	174,884.47
11/02	17/02	701592301	購入 FSL FREESCALE SEMICONDUCTOR LTD 股數 1,000 平均價 33.9571	(33,997.85)	(2,058.81)	140,886.62
11/02	17/02	701592311	購入 FSL FREESCALE SEMICONDUCTOR LTD 股數 2,000 平均價 34.3484	(68,779.32)	(70,838.13)	72,107.30
11/02	17/02	701592321	購入 DNDNQ DENDREON CORP 股數 30,000 平均價 0.0529	(1,612.00)	(72,450.13)	70,495.30
11/02	17/02	701592341	購入 FSL FREESCALE SEMICONDUCTOR LTD 股數 3,000 平均價 33.9963	(102,111.39)	(174,561.52)	(31,616.09)
11/02	17/02	701592351	購入 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0529	(2,670.00)	(177,231.52)	(34,286.09)
11/02	17/02	701592361	購入 FSL FREESCALE SEMICONDUCTOR LTD 股數 3,000 平均價 34.1000	(102,422.76)	(279,654.28)	(136,708.85)

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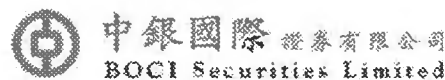
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11/02	17/02	701592371	買入 SYF SYNCHRONY FINANCIAL 股數 1,000 平均價 33.2050	(33,244.85)	(312,899.13)	(169,953.70)
11/02	17/02	701592381	買入 DNDNQ DENDREON CORP 股數 30,000 平均價 0.0480	(1,465.00)	(314,364.13)	(171,418.70)
11/02	17/02	701592331	賣出 SYF SYNCHRONY FINANCIAL 股數 2,000 平均價 33.3000	66,520.08	(247,844.05)	(104,898.62)
11/02	17/02	701592391	賣出 INTC INTEL CORP 股數 7,000 平均價 33.6500	235,267.34	(12,576.71)	130,368.72
12/02	18/02	702189381	買入 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0587	(2,958.75)	37,573.66	127,409.97
12/02	18/02	702189391	買入 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0935	(4,702.18)	32,871.48	122,707.79
12/02	18/02	702189401	買入 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0512	(2,734.38)	30,137.10	119,973.41
12/02	18/02	702189411	買入 DNDNQ DENDREON CORP 股數 50,000 平均價 0.1066	(5,355.00)	24,782.10	114,618.41
12/02	18/02	702189421	買入 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0913	(4,592.00)	20,190.10	110,026.41
12/02	18/02	702189431	買入 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0570	(2,875.00)	17,315.10	107,151.41
12/02	18/02	702189441	買入 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0992	(4,983.25)	12,331.85	102,168.16
12/02	18/02	702189451	買入 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0835	(4,198.00)	8,133.85	97,970.16
12/02	18/02	702189461	買入 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0893	(4,489.06)	3,644.79	93,481.10
12/02	18/02	702189471	買入 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0986	(4,955.00)	(1,310.21)	88,526.10
12/02	18/02	702189481	買入 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0550	(2,775.00)	(4,085.21)	85,751.10
12/02	18/02	702189491	買入 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0838	(4,216.50)	(8,301.71)	81,534.60
12/02	18/02	702189501	買入 DNDNQ DENDREON CORP 股數 50,000	(4,275.00)	(12,576.71)	77,259.60

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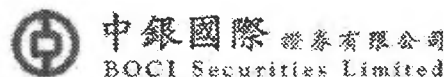
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頁數: 4/8

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			平均價 0.0850			
13/02	19/02	702951211	买入 DNDNQ DENDREON CORP 股數 50,000	(4,515.60)	57,520.10	72,744.00
			平均價 0.0898			
13/02	19/02	702951221	买入 DNDNQ DENDREON CORP 股數 50,000	(4,540.00)	52,980.10	68,204.00
			平均價 0.0903			
13/02	19/02	702951231	买入 DNDNQ DENDREON CORP 股數 50,000	(4,535.00)	48,445.10	63,669.00
			平均價 0.0902			
13/02	19/02	702951241	买入 DNDNQ DENDREON CORP 股數 50,000	(4,275.00)	44,170.10	59,394.00
			平均價 0.0850			
13/02	19/02	702951251	买入 DNDNQ DENDREON CORP 股數 50,000	(4,800.00)	39,370.10	54,594.00
			平均價 0.0955			
13/02	19/02	702951261	买入 DNDNQ DENDREON CORP 股數 50,000	(4,571.25)	34,798.85	50,022.75
			平均價 0.0909			
13/02	19/02	702951271	买入 DNDNQ DENDREON CORP 股數 50,000	(4,875.00)	29,923.85	45,147.75
			平均價 0.0970			
13/02	19/02	702951281	买入 DNDNQ DENDREON CORP 股數 50,000	(4,575.00)	25,348.85	40,572.75
			平均價 0.0910			
13/02	19/02	702951291	买入 DNDNQ DENDREON CORP 股數 50,000	(4,792.87)	20,555.98	35,779.88
			平均價 0.0954			
13/02	19/02	702951301	买入 DNDNQ DENDREON CORP 股數 50,000	(4,834.06)	15,721.92	30,945.82
			平均價 0.0962			
13/02	19/02	702951311	买入 DNDNQ DENDREON CORP 股數 50,000	(4,760.00)	10,961.92	26,185.82
			平均價 0.0947			
13/02	19/02	702951321	买入 DNDNQ DENDREON CORP 股數 50,000	(4,825.00)	6,136.92	21,360.82
			平均價 0.0960			
13/02	19/02	702951331	买入 DNDNQ DENDREON CORP 股數 50,000	(4,569.50)	1,567.42	16,791.32
			平均價 0.0909			
13/02	19/02	702951341	买入 DNDNQ DENDREON CORP 股數 50,000	(4,705.63)	(3,138.21)	12,085.69
			平均價 0.0936			
13/02	19/02	702951351	买入 DNDNQ DENDREON CORP 股數 50,000	(4,570.00)	(7,708.21)	7,515.69
			平均價 0.0909			
13/02	19/02	702951361	买入 DNDNQ DENDREON CORP 股數 50,000	(4,868.50)	(12,576.71)	2,647.19
			平均價 0.0969			
17/02	20/02	704529321	买入 DNDNQ DENDREON CORP	(3,099.60)	(452.41)	(452.41)

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客戶編號: 1975-1

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頁數: 5/8

交易日期	交收日期	參考編號	事項細節	金額	賬面結余	結算日結余
			股數 40,000 平均價 0.0769			
17/02	20/02	704529331	買入 DNDNQ DENDREON CORP	(3,765.00)	(4,217.41)	(4,217.41)
			股數 50,000 平均價 0.0748			
17/02	20/02	704529341	買入 DNDNQ DENDREON CORP	(3,846.00)	(8,063.41)	(8,063.41)
			股數 50,000 平均價 0.0764			
17/02	20/02	704529351	買入 DNDNQ DENDREON CORP	(4,513.30)	(12,576.71)	(12,576.71)
			股數 50,000 平均價 0.0898			
26/02	26/02	707367850	外匯 外幣轉換 兌換價 6.289	100,719.57	(58,350.83)	88,142.85
28/02	28/02	694798141	應付利息	(6.84)	(58,357.67)	88,136.02
28/02	28/02	694798141	應收利息	0.47	(58,357.20)	88,136.49
28/02	28/02		轉後結余:-		(58,357.20)	88,136.49

交易日期	交收日期	參考編號	事項細節	金額
<u>人民幣 (CNY)</u>				
27/02	02/03	708127661	賣出 601186 中國鐵建 股數 0 平均價 13.9000	415,902.14
			待結算總金額	415,902.14
<u>美元 (USD)</u>				
25/02	02/03	707117881	買入 ALTR ALTERA CORP 股數 1,000 平均價 36.6170	(36,660.94)
25/02	02/03	707117891	買入 ALTR ALTERA CORP 股數 500 平均價 36.4999	(18,274.97)
26/02	03/03	707997361	買入 ALTR ALTERA CORP 股數 500 平均價 36.5900	(18,320.00)
26/02	03/03	707997371	買入 ALTR ALTERA CORP 股數 1,000 平均價 36.4500	(36,493.74)
26/02	03/03	707997381	買入 ALTR ALTERA CORP 股數 1,000 平均價 36.7000	(36,744.04)
			待結算總金額	(146,493.69)

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頁數: 6/8

證券代號/ 月初持股量 名稱	本月股份進支	待結算股數	暫不可用股數	月終持股量	收市價	总市值	(存展比率%) 存展價值
<b>香港股票市场 (HKD)</b>							
00342 新海能源集團有限公司 60,000	(60,000)	0	0	0	2.780000	0.00	(20) 0.00
<b>上海股票市场-A 股 (CNY)</b>							
601186 中國鐵建 80,000	(80,000)	0	0	0	13.670000	0.00	(50) 0.00
601668 中國建築 80,000	(80,000)	0	0	0	6.410000	0.00	(50) 0.00
<b>美國股票市场 (USD)</b>							
ALTR ALTERA CORP 0	0	4,000	0	4,000	37.010000	148,040.00	(60) 88,824.00
DNDNQ DENDREON CORP 0	1,900,000	0	0	1,900,000	0.056000	106,400.00	(0) 0.00
FSL FREESCALE SEMICONDUCTOR LTD 4,000	10,000	0	0	14,000	36.110000	505,540.00	(0) 0.00
INTC INTEL CORP 7,000	(7,000)	0	0	0	33.250000	0.00	(70) 0.00
MU MICRON TECHNOLOGY INC 3,000	(3,000)	0	0	0	30.670000	0.00	(60) 0.00
總額 (相當于港元)						5,894,024.89	688,874.53

貨幣	日期	應收利息	應付利息
<b>人民幣 (CNY)</b>			
		利率:	利率:
	01/02-05/02	0.250000%	11.500000%
	06/02-15/02	0.250000%	13.000000%
	16/02-28/02	0.250000%	11.500000%
<b>港幣 (HKD)</b>			
		利率:	利率:
	01/02-28/02	0.010000%	5.000000%
<b>美元 (USD)</b>			
		利率:	利率:
	01/02-28/02	0.010000%	3.250000%

<b>人民幣 (CNY)</b>	
現金結餘 (包括後結餘及待交收之交易淨額)	415,902.14
證券市值	0.00
帳戶淨值	415,902.14
兌換率: 相當于港元 1.235400	
	513,805.50

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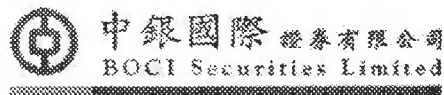
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SFC C.E number: AAC296



客戶編號: 1975-■■■■

結單日期: 2015年02月28日

頁數: 7/8

<b>港币 (HKD)</b>		
現金結餘 (包括後結餘及待交收之交易淨額)		0.00
證券市值		0.00
帳戶淨值		0.00
<b>美元 (USD)</b>		
現金結餘 (包括後結餘及待交收之交易淨額)		(58,357.20)
證券市值		769,980.00
帳戶淨值		701,622.80
兌換率: 相當于港元 7.755500		5,441,435.63
<b>綜合戶口概況: 港元等值</b>		
現金結餘 (包括後結餘及待交收之交易淨額)		61,216.24
證券市值		5,894,024.89
帳戶淨值		5,955,241.13
可用孖展價值 (證券孖展價值/信貸額 (以較低者為準))		688,874.53
信貸額 (有效至: 31/01/2016)		1,000,000.00
可用結餘/ (追收孖展金額) (包 現金結餘 及 證券孖展價值/信貸額 (以較低者為準))		750,090.77

<p>01. 閣下可于本公司网页(<a href="http://www.bocionline.com">www.bocionline.com</a>)查询最新服务收费。如 閣下未能成功进入本公司网页查阅最新收费表, 请与 閣下的客户经理联络。</p> <p>02. 此单据所载之货币兑换率只作参考用途及不应视作最终結单之真实持有价值。</p> <p>03. 为了提供更好的服务水平, 閣下现可以透过中銀國際證券有限公司网站<a href="http://www.bocionline.com">www.bocionline.com</a>的服务下载E結单或月結单。关于下载指示, 请到 <a href="http://www.bocionline.com/ch/home/products_and_services.htm">http://www.bocionline.com/ch/home/products_and_services.htm</a> 选取“客户服务平台”。</p> <p>04. 閣下如欲查询本账单或下载账单事项, 请与 閣下的客户经理联络或致电24小时服务专线(852) 2121-0088或发电邮到<a href="mailto:service@bocigroup.com">service@bocigroup.com</a>。</p> <p>05. 为保障客户资产, 由2012年11月3日起, 中銀國際證券有限公司的网上提款服务平台只供閣下下达提款指示, 汇款至已由閣下预先登记的香港银行账户。如 閣下欲登记银行账户作提款之用, 请与閣下的客户经理或于办公时间致电852-2121-0088。</p> <p>06. 新加坡、日本、英国、德国、法国、瑞典及荷兰证券市场的交易服务收费将于2012年11月5日起作出调整, 详情请参阅网页。</p> <p>07. 由2012年12月1日起, 澳洲股票市场将会新增以下两项代理人服务收费: (1) 索取股东周年或特别大会议程 - 每家60澳元; 及 (2) 委托代理人表决或出席会议 - 每家60澳元。</p> <p>08. 由2014年5月2日起, 新加坡证券交易清算费将由0.04%下调至0.0325%, 该收费的600新元上限亦会同时删除。请注意, 有关新加坡证券交易清算费的调整将延迟至2014年6月1日起生效。</p> <p>09. 公司行动 - 零碎股处理 就某些美国、加拿大及欧洲上市股票的公司行动, 获派零碎股的股东可能会以收取现金(即: 出售零碎股所得款项的净额)代替收取零碎股份。请注意, 相应现金款额将由我们以市价出售零碎股后记入在相关股东的账户上, 有关的支付可能迟于实际公司行动的付款日。</p> <p>10. 中銀國際證券将于2014年2月21日(「终止日」)起终止提供网上基金交易服务。客户可在终止日后透过客户经理/投资服务代表处理基金交易的指</p>	
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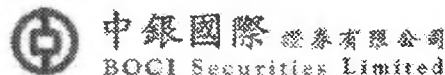
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SFC C.E number: AAC298



客戶編號 1975-

結單日期: 2015年02月28日

頁數: 8/8

小。

11. 部分海外股票市场的无纸化股票存入手续费将会由2014年8月1日起下调, 详情请参阅我司网页「最新消息」。

12. 中银国际证券有限公司将由2014年7月28日起停止收取新加坡股票市场的SGX交易系统费。

13. 备注: (1) 沪港股票交易机制中的北向交易将按照上交所A股市场的交收周期, 股票交收将于T日进行而款项交收将于T+1日完成。于「交易总览」部份所标之北向交易的交收日, 会以款项交收日显示, 然而股票的交收已于交易日发生及反映于证券持仓摘要中。(2) 在此文件所列与有关北向交易的费用及税款(如有)已经/将会透过香港交易所缴交中国证券登记结算有限责任公司处理。

14. 根据港交所通告, 沪港通推出日期为2014年11月17日。客户在交易或买卖中港通股票前, 请先细阅我司网页(www.bocionline.com)上的中港通补充条款。在任何时间您向中银国际证券有限公司通过中港通下单或以其他方式从事有关中港通交易, 中港通补充条款被视为适用于该等指示或交易。

15. 因应市场变化, 由2015年2月1日起, 本公司之瑞士法郎存款年利率将调整为-1%。如阁下有任何疑问, 请与客户经理联络或致电本公司客户服务热线(852) 2718-9666查询。

具体事项: 自2013年3月1日起, 除非另有明确限定, 客户透过中银国际证券买卖意大利常驻公司发行的股票、参与的金融工具、及相当于股本投资证券的所有权的所有交易(例如: 普拉达(股票代码: 01913. bk))时均需缴意大利金融交易税。于2013年3月1日至2013年4月30日期间所进行的相关交易, 相关的意大利金融交易税将于2013年5月3日从客户的账户中扣除。于2013年5月1日起进行的相关交易, 本公司将于该等交易的结算日从客户的账户内扣除相关的意大利金融交易税。详情请参阅我司网站www.bocionline.com。  
\*\* “CPN资本收益费用”指由发行商据「CPN产品的细则及条款」所扣留之预计资本收益预扣税款。

- 完 -

关于阁下之结单

如本行在结单发出日期之48小时内没有收到阁下之任何书面反对通知, 本结单内之所有纪录将被视为正确无误。

此单据由计算机编印, 毋须签署。

此单据所载之收市价及市值只作参考用途及不应视作最终结单之真实持有量。

由于考虑到付予贵帐户之信贷服务, 贵帐户存放于中银国际证券有限公司之证券将作为抵押品。

融资届满日期为 2016年01月31日。

此单据亦作为存凭及/或提货收据。

就有关阁下与中银国际证券有限公司进行之证券借贷交易(如有), 请参照另外寄上之证券借贷结单。

#### 债券交易之风险披露声明

以下风险披露声明不能披露所有涉及的风险。您需在交易或投资之前负责自身的资料收集和研。您应按个人自身的财政状况及投资目标谨慎考虑是否适宜进行交易或投资。我们建议您于进行交易或投资前寻求独立的财务及专业意见。任何一只债券的价格可能上升及下跌, 甚至变成毫无价值。买卖债券有其内在风险, 因此未必一定能获取利润, 反而可能导致损失。同时, 买卖债务性证券也有其内在风险, 因此未必一定能获取利润, 反而可能导致损失。债券持有人需承受发行者的信贷风险, 及不能向中银国际证券有限公司追索任何赔偿。

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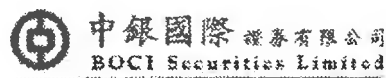
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SFC C.E number: AAC298



## Monthly Account Statement

Zheng Bo  
Room 701, Jiaxyuan Building 8  
No. 578 Furong South Road Section One  
Changsha City, Hunan Province  
China

Statement Date: February 28, 2015  
Customer Number: [REDACTED] 1975-[REDACTED]  
Account Type: Margin Account  
AE Code: AE611  
Page: 1/8

Account Name: Zheng Bo

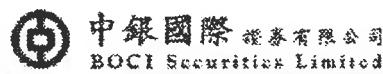
Transaction Overview						
Transaction Date	Settlement Date	Reference Number	Item Details	Amount	Account Balance	Settlement Day Balance
<b>Renminbi (CNY)</b>						
January 31	January 31		Balance brought forward: -		127.50	127.50
February 13	February 16	702362891	Sold shares of China State Construction Engineering Corporation Limited (601668) Number of shares: 80,000 Average price: 5.9450	474,320.39	474,447.89	474,447.89
February 16	February 16	703189090	Foreign currency exchange Exchange rate: 6.2655	(474,447.87)	0.02	0.02
February 25	February 26	706567661	Sold shares of China Railway Construction Corporation Limited (601186) Number of shares: 50,000 Average price: 12.7020	633,425.35	633,425.37	633,425.37
February 26	February 26	707367850	Foreign currency exchange Exchange rate: 6.289	(633,425.37)	0.00	0.00
February 28	February 28		Balance after fund transfer is complete: -		415,902.14	0.00
<b>Hong Kong Dollar (HKD)</b>						
January 31	January 31		Balance brought forward: -		0.19	0.19
February 4	February 4	69747073	Deposit/withdrawal:	(11.65)	(11.46)	(11.46)

			fees SH A SHARES PORTFOLIO FEE FOR JAN 2015 – (11.65)			
February 12	February 16	701712481	Sold shares of New Ocean Energy Holdings Limited (00342) Number of shares: 60,000 Average price: 2.8200	168,678.57	168,667.11	168,667.11
February 16	February 16	703189210	Foreign currency exchange Exchange rate: 7.7605	(168,667.11)	0.00	0.00
February 28	February 28		Balance after fund transfer is complete: -	(168,667.11)	0.00	0.00
United States Dollar (USD)						
January 31	January 31		Balance brought forward: -		1,464.99	78,622.93
January 28	February 2	693005181	Purchased shares of MU MICRON TECHNOLOGY INC Number of shares: 3,000 Average price: 29.8500	(89,657.46)	(69,905.09)	(11,034.53)
January 28	February 2	693005201	Purchased shares of MU MICRON TECHNOLOGY INC Number of shares: 2,000 Average price: 30.0000	(60,072.00)	(129,977.09)	(71,106.53)
January 28	February 2	693005191	Sold shares of FSL PREESCALE SEMICONDUCTOR LTD Number of shares: 4,000 Average price: 32.9000	131,442.08	1,464.99	60,335.55

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SFC C.E number. AAC298



Customer Number: 1975

Statement Date: February 28, 2015

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Transaction Overview						
Transaction Date	Settlement Date	Reference Number	Item Details	Amount	Account Balance	Settlement Day Balance
January 29	February 3	693810481	Purchased shares of MU MICRON TECHNOLOGY INC Number of shares: 2,000 Average price: 29.4000	(58,870.56)	1,464.99	1,464.99
February 10	February 13	700951931	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.1666	(8,355.00)	(353,550.51)	(6,890.01)
February 10	February 13	700951951	Purchased shares of DNDNQ DENDREON CORP Number of shares: 30,000 Average price: 0.1790	(5,396.25)	(358,946.76)	(12,286.26)
February 10	February 13	700951961	Purchased shares of SYF SYNCIHRONY FINANCIAL Number of shares: 1,000 Average price: 32.7180	(32,757.25)	(391,704.02)	(45,043.52)
February 10	February 13	700951941	Sold shares of MU MICRON TECHNOLOGY INC Number of shares: 5,000 Average price: 29.6500	148,072.10	(243,631.92)	103,028.58
February 10	February 13	700951971	Sold shares of MU MICRON TECHNOLOGY INC Number of shares: 5,000 Average price: 29.8000	148,821.20	(94,801.72)	251,849.78
February 16	February 16	703189090	Foreign currency exchange Exchange rate: 6.2655	75,723.86	(19,086.86)	327,573.64

February 16	February 16	703189210	Foreign currency exchange Exchange rate: 7.7605	21,734.05	2,647.19	349,307.69
February 11	February 17	701592261	Purchased shares of DNDNQ DENDREON CORP Number of shares: 20,000 Average price: 0.0478	(981.00)	205,381.26	348,326.69
February 11	February 17	701592271	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0540	(2,725.00)	202,656.26	345,601.69
February 11	February 17	701592281	Purchased shares of FSL FREESCALE SEMICONDUCTOR LTD Number of shares: 3,000 Average price: 34.0375	(102,235.14)	100,421.12	243,366.55
February 11	February 17	701592291	Purchased shares of FSL FREESCALE SEMICONDUCTOR LTD Number of shares: 2,000 Average price: 34.2000	(68,482.08)	31,939.04	174,884.47
February 11	February 17	701592301	Purchased shares of FSL FREESCALE SEMICONDUCTOR LTD Number of shares: 1,000 Average price: 33.9571	(33,997.85)	(2,058.81)	140,886.62
February 11	February 17	701592311	Purchased shares of FSL FREESCALE SEMICONDUCTOR LTD Number of shares: 2,000 Average price: 34.3484	(68,779.32)	(70,838.13)	72,107.30
February 11	February 17	701592321	Purchased shares of DNDNQ DENDREON CORP Number of shares: 30,000 Average price: 0.0529	(1,612.00)	(72,450.13)	70,495.30
February 11	February 17	701592341	Purchased shares of FSL FREESCALE	(102,111.39)	(174,561.52)	(31,616.09)

			SEMICONDUCTOR LTD Number of shares: 3,000 Average price: 33.9963			
February 11	February 17	701592351	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0529	(2,670.00)	(177,231.52)	(34,286.09)
February 11	February 17	701592361	Purchased shares of FSL FREESCALE SEMICONDUCTOR LTD Number of shares: 3,000 Average price: 34.1000	(102,422.76)	(279,654.28)	(136,708.85)

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SFC C.E number. AAC298



Customer Number: 1975-

Statement Date: February 28, 2015

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Transaction Overview						
Transaction Date	Settlement Date	Reference Number	Item Details	Amount	Account Balance	Settlement Day Balance
February 11	February 17	701592371	Purchased shares of SYF SYNCHRONY FINANCIAL Number of shares: 1,000 Average price: 33.2050	(33,244.85)	(312,899.13)	(169,953.70)
February 11	February 17	701592381	Purchased shares of DNDNQ DENDREON CORP Number of shares: 30,000 Average price: 0.0480	(1,465.00)	(314,364.13)	(171,418.70)
February 11	February 17	701592331	Sold shares of SYF SYNCHRONY FINANCIAL Number of shares: 2,000 Average price: 33.3000	66,520.08	(247,844.05)	(104,898.02)
February 11	February 17	701592391	Sold shares of INTC INTEL CORP Number of shares: 7,000 Average price: 33.6500	235,267.34	(12,576.71)	130,368.72
February 12	February 18	702189381	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0587	(2,958.75)	37,573.66	127,409.97
February 12	February 18	702189391	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0935	(4,702.18)	32,871.48	122,707.79
February 12	February 18	702189401	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000	(2,734.38)	30,137.10	119,973.41

February 12	February 18	702189411	Average price: 0.0512 Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.1066	(5,355.00)	24,782.10	114,618.41
February 12	February 18	702189421	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0913	(4,592.00)	20,190.10	110,026.41
February 12	February 18	702189431	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0570	(2,875.00)	17,315.10	107,151.41
February 12	February 18	702189441	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0992	(4,983.25)	12,331.85	102,168.16
February 12	February 18	702189451	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0835	(4,198.00)	8,133.85	97,970.16
February 12	February 18	702189461	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0893	(4,489.06)	3,644.79	93,481.10
February 12	February 18	702189471	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0986	(4,955.00)	(1,310.21)	88,526.10
February 12	February 18	702189401	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0550	(2,775.00)	(4,005.21)	85,751.10
February 12	February 18	702189491	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0838	(4,216.50)	(8,301.71)	81,534.60

February 12	February 18	702189501	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000	(4,275.00)	(42,576.71)	77,259.60
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SFC C.E number: AAC298



Customer Number: 1975-

Statement Date: February 28, 2015

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Transaction Overview						
Transaction Date	Settlement Date	Reference Number	Item Details	Amount	Account Balance	Settlement Day Balance
			Average price: 0.0850			
February 13	February 19	702951211	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0898	(4,515.60)	57,520.10	72,744.00
February 13	February 19	702951221	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0903	(4,540.00)	52,980.10	68,204.00
February 13	February 19	702951231	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0902	(4,535.00)	48,445.10	63,669.00
February 13	February 19	702951241	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0850	(4,275.00)	44,170.10	59,394.00
February 13	February 19	702951251	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0955	(4,800.00)	39,370.10	54,594.00
February 13	February 19	702951261	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0909	(4,571.25)	34,798.85	50,022.75
February 13	February 19	702951271	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0970	(4,875.00)	29,923.85	45,147.75

February 13	February 19	702951281	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0910	(4,575.00)	25,348.85	40,572.75
February 13	February 19	702951291	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0954	(4,792.87)	20,555.98	35,779.88
February 13	February 19	702951301	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0962	(4,834.06)	16,721.92	30,915.82
February 13	February 19	702951311	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0947	(4,760.00)	10,061.92	26,185.82
February 13	February 19	702951321	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0960	(4,825.00)	6,136.92	21,360.82
February 13	February 19	702951331	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0909	(4,569.50)	1,567.42	16,791.32
February 13	February 19	702951341	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0936	(4,705.63)	(3,138.21)	12,085.69
February 13	February 19	702951351	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0909	(4,570.00)	(7,708.21)	7,515.69
February 13	February 19	702951361	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0969	(4,868.50)	(12,576.71)	2,647.19
February	February	704529321	Purchased shares of	(3,099.60)	(452.41)	(452.41)

17	20		DNDNQ DENDREON CORP			
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Transaction Overview						
Transaction Date	Settlement Date	Reference Number	Item Details	Amount	Account Balance	Settlement Day Balance
			Number of shares: 40,000 Average price: 0.0769			
February 17	February 20	704529331	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0748	(3,765.00)	(4,217.41)	(4,217.41)
February 17	February 20	704529341	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0764	(3,846.00)	(8,063.41)	(8,063.41)
February 17	February 20	704529351	Purchased shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0898	(4,513.30)	(12,576.71)	(12,576.71)
February 26	February 26	707367850	Foreign currency exchange Exchange rate: 6.289	100,719.57	(58,350.83)	88,142.86
February 28	February 28	694798111	Interest payable	(6.84)	(58,357.67)	88,136.02
February 28	February 28	694798141	Interest receivable	0.47	(58,357.20)	88,136.49
February 28	February 28		Balance after fund transfer is complete: -		(58,357.20)	88,136.49

Transactions Pending for Settlement				
Transaction Date	Settlement Date	Reference Number	Item Details	Amount
<u>Renminbi (CNY)</u>				
February 27	March 2	708127661	Sold shares of China Railway Construction Corporation Limited (601186) Number of shares: 0 Average price: 13.9000	415,902.14
Total amount pending for settlement: 415,902.14				
<u>United States Dollar (USD)</u>				
February	March 2	707117881	Purchased shares of ALTR ALTERA CORP	(36,660.94)

25			Number of shares: 1,000 Average price: 36.6170	
February 25	March 2	707117891	Purchased shares of ALTR ALTERA CORP Number of shares: 500 Average price: 36.4999	(18,274.97)
February 26	March 3	707997361	Purchased shares of ALTR ALTERA CORP Number of shares: 500 Average price: 36.5900	(18,320.00)
February 26	March 3	707997371	Purchased shares of ALTR ALTERA CORP Number of shares: 1,000 Average price: 36.4500	(36,493.74)
February 26	March 3	707997381	Purchased shares of ALTR ALTERA CORP Number of shares: 1,000 Average price: 36.7000	(36,744.04)
Total amount pending settlement: (146,498.60)				

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Securities Custody Summary								
Security Code/ Name	Number of Shares Held at Beginning of Month	Share Activities This Month	Number of Shares Pending for Settleme nt	Number of Shares Temporari ly Unavailabl e	Number of Shares Held at the End of the Month	Closing Price	Total Market Value	(Margin Ratio %) Margin Value
<u>Hong Kong Stock Exchange (HKD)</u>								
00342	New Ocean Energy Holdings Limited 60,000	(60,000)	0	0	0	2.780000	0.00	(20) 0.00
<u>Shanghai Stock Exchange – A Shares (CNY)</u>								
601186	China Railway Construction Corporation Limited 80,000	(80,000)	0	0	0	13.67000 0	0.00	(50) 0.00
601668	China State Construction Engineering Corporation Limited 80,000	(80,000)	0	0	0	6.410000	0.00	(50) 0.00
<u>United States stock market (USD)</u>								
ALTR	ALTERA CORP 0	0	4,000	0	4,000	37.01000 0	148,040.0 0	(60) 88,834.0 0
DNDN Q	DENDREON CORP 0	1,900,00 0	0	0	1,900,00 0	0.056000	106,400.0 0	(0) 0.00
FSL	FREESCALE SEMICONDUCT OR LTD 4,000	10,000	0	0	14,000	36.11000 0	505,540.0 0	(0) 0.00
INTC	INTEL CORP 7,000	(7,000)	0	0	0	33.25000 0	0.00	(70) 0.00
MU	MICRON TECHNOLOGY INC 3,000	(3,000)	0	0	0	30.67000 0	0.00	(60) 0.00
Total amount (equivalent to Hong Kong dollars)						5,904,024.80	699,974.53	

Interest Summary			
Currency	Date	Interest Receivable	Interest Payable

<u>Renminbi (CNY)</u>		Interest Rate:	Interest Rate:
	February 1 to February 5	0.250000%	11.500000%
	February 6 to February 15	0.250000%	13.000000%
	February 16 to February 28	0.250000%	11.500000%
<u>Hong Kong Dollar (HKD)</u>		Interest Rate:	Interest Rate:
	February 1 to February 28	0.010000%	5.000000%
<u>United States Dollar (USD)</u>		Interest Rate:	Interest Rate:
	February 1 to February 28	0.010000%	3.250000%

## Account Overview

Renminbi (CNY)

Cash balance (including the balance after transfer and pending net transaction amount)	415,902.14
Market value of securities	0.00
Net amount on the account	415,902.14
Exchange rate: Equal to 1.235400 Hong Kong dollars	513,805.50

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**Account Overview****Hong Kong Dollar (HKD)**

Cash balance (including the balance after transfer and pending net transaction amount)	0.00
Market value of securities	0.00
Net amount on the account	0.00

**United States Dollar (USD)**

Cash balance (including the balance after transfer and pending net transaction amount)	(58,357.20)
Market value of securities	759,980.00
Net amount on the account	701,622.00
Exchange rate: Equal to 7.755500 Hong Kong dollars	5,441,435.63

**Omnibus Account Summary: HKD equivalent**

Cash balance (including the balance after transfer and pending net transaction amount)	61,216.24
Market value of securities	5,894,024.89
Net amount on the account	5,955,241.13

Available Margin Value (Securities Margin Value/Line of Credit (whichever is lower)	888,874.53
Line of credit (valid until January 1, 2016)	1,000,000.00
Available balance (margin call) (including cash balance and securities margin value/ line of credit) (whichever is lower)	750,090.77

**Remarks**

01. You can use our webpage ([www.bocionline.com](http://www.bocionline.com)) to find out the latest service fees. If you are unable to access our webpage to find out the list of latest fees, please contact your account manager.
02. Currency exchange rates listed in this statement can only be used for reference, and they can't be considered as the actual values held in the final statement.
03. In order to provide better services, you now can download daily statements or monthly statements through related services on BOCI Securities Limited's website, which is [www.bocionline.com](http://www.bocionline.com). As for downloading instructions, please visit [http://www.bocionline.com/ch/home/products\\_and\\_services.htm](http://www.bocionline.com/ch/home/products_and_services.htm) and then select "customer service platform".
04. If you would like to look for this statement or download the statement, please contact your account manager or dial 24-hour service line, which is (852) 2121-0088. You can also send an email to [service@bocigroup.com](mailto:service@bocigroup.com).
05. In order to protect customers' assets, starting from November 3, 2012, the online withdrawal service platform of BOCI Securities Limited can only allow you to issue withdrawal orders, and money will be sent to the Hong Kong bank account you have already registered earlier. If you want to register a bank account for withdrawal purpose, please contact your account manager or dial 852-2121-0088 during business hours.
06. Starting from November 5, 2012, service fees toward transactions made on securities market in Singapore, Japan, Britain, Germany, France, Sweden or the Netherlands will be adjusted, and please check our webpage for details.
07. Starting from December 1, 2012, two agent service fees will be added toward the Australian stock market, including: (1) 60 Australian dollars will be charged for each shareholders' annual or special meeting agenda; and (2) 60 Australian dollars will be charged for entrusting agents to vote or attend meetings each time.
08. Starting from May 2, 2014, Singapore securities transaction settlement fee will be reduced from 0.04%

to 0.0325%. Also, the upper limit of 600 Singapore dollars toward this fee will be removed. Please note that the effective date of the adjustment made to Singapore securities transaction settlement fee will be delayed to June 1, 2014.

09. Company's actions – Handling of fractional shares: As for company's actions related with some stocks listed in the United States, Canada, and Europe, shareholders receiving fractional shares may collect cash (this refers to the net amount obtained from selling fractional shares) instead of collecting fractional shares. Please note that we will credit corresponding cash amount to the accounts of related shareholders after we sell fractional shares based on market prices, and related payments may be later than the payment date for actual company's actions.
10. Starting from February 21, 2014 ([termination date]), BOCI Securities will terminate online fund transaction service. Customers can get instructions for handling fund transactions from account managers or investment service agents after the termination date.

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## Remarks

11. Starting from August 1, 2014, paperless stock deposit fee toward some overseas stock markets will be reduced, and please check [latest news] on our webpage for details.
12. Starting from July 28, 2014, BOCI Securities Limited will stop charging the SGX transaction system fee toward the Singapore stock market.
13. Note: (1) Northbound trading in Shanghai-Hong Kong Stock Connect will follow the settlement cycle on the A share market of Shanghai Stock Exchange. Stock settlement will be made on the T day, while money settlement should be completed on the T+1 day. The settlement day of northbound trading indicated in "transaction overview" will be shown as money settlement day; however, stock settlement has already occurred on the trading day and is reflected in securities custody summary. (2) Fees and taxes that are listed in this document and related with northbound trading (if these fees and taxes exist) have already been paid/will be paid to China Securities Depository and Clearing Co., Ltd. through Hong Kong Exchanges and Clearing Limited, and these fees and taxes will then be handled by China Securities Depository and Clearing Co., Ltd.
14. According to the notice issued by Hong Kong Exchanges and Clearing Limited, the launch date of Shanghai-Hong Kong Stock Connect is November 17, 2014. Before trading, purchasing, or selling stocks in the Shanghai-Hong Kong Stock Connect program, customers should carefully read supplemental rules about Shanghai-Hong Kong Stock Connect first. These rules are listed on our webpage ([www.bocionline.com](http://www.bocionline.com)). Whenever you place an order to BOCI Securities Limited through Shanghai-Hong Kong Stock Connect or use other means to conduct transactions related with Shanghai-Hong Kong Stock Connect, supplemental rules about Shanghai-Hong Kong Stock Connect will apply to this kind of instructions or transactions.
15. Due to changes on the market, starting from February 1, 2015, our annual Swiss franc deposit interest rate will be adjusted to -1%.

If you have any question, please contact your account manager or dial (852) 2718-9666, which is our customer service line, for inquiries.

Specific matters: Starting from March 1, 2013, unless otherwise specified, customers should pay Italian financial transaction tax when they purchase or sell the stocks issued by companies resident in Italy or participating financial instruments or conduct all transactions related with ownership of securities representing equity investment (such as Prada (01913.hk)) through BOCI Securities. As for related transactions conducted between March 1, 2013 and April 30, 2013, corresponding Italian financial transaction tax will be deducted from customers' accounts on May 3, 2013. As for related transactions conducted on May 1, 2013 or after May 1, 2013, we will deduct corresponding Italian financial transaction tax from customers' accounts on the settlement date of these transactions. Please visit our website [www.bocionline.com](http://www.bocionline.com) for details.

\*\* "CPN capital gain fee" refers to the estimated capital gain tax deducted by issuers in advance according to the *Detailed Rules and Regulations about CPN Products*.

-End-

About your statement



If we don't receive any written notice of opposition from you within 48 hours after this statement is sent out, then all records in this statement will be considered accurate and error-free.

This statement is made and printed out by a computer, so there is no need to sign it.

The closing prices and market values listed in this statement can be only used for reference, and they should not be considered as actual amounts held in the final statement.

Given the credit services provided to your account, the securities your account has deposited at BOCI Securities Limited will be used as a guarantee.

The expiration date of financing is January 31, 2016.

This statement can also be used as a deposit and/or withdrawal receipt.

As for securities borrowing and lending transactions between you and BOCI Securities Limited (if this types of transactions exists), please check the attached securities borrowing and lending statement.

#### **Bond transaction risk disclosure statement**

The following risk disclosure statement is unable to disclose all risks involved. You need to collect and study your own materials before conducting transactions or making investment. You should carefully consider whether it is proper for you to conduct transactions or make investment based on your own financial situation and investment goal. We suggest that you seek independent financial and professional opinions before conducting transactions or making investment.

Investment will involve risks. Bond prices may and indeed will fluctuate. The price of any bond may rise or drop or even loses all its value. Purchasing and selling bonds has its own risks, so it is unnecessary that you definitely will make a profit. On the contrary, you may lose money. Similarly, purchasing and selling debt securities also has its own risks, so it is unnecessary that you definitely will make a profit. On the contrary, this may lead to losses. Bondholders have to undertake issuers' credit risks, and they can't seek compensation from BOCI Securities Limited.

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SFC C.E number: AAC298



## 账户月结单

郑博

结单日期: 2015年03月31日

客户编号: 1975-1

户口类别: 开展户

经纪编号: AE611

页数: 1/7

帐户名称: 郑博

交易日期	交收日期	参考编号	事项细节	金额	账面结余	结算日结余
<b>人民币 (CNY)</b>						
28/02	28/02		承前结余:-		415,902.14	0.00
27/02	02/03	708127661	卖出 601186 中国铁建 股数 30,000 平均价 13.9000	415,902.14	415,902.14	415,902.14
04/03	04/03	712513640	外汇外币转换 兑换價 6.3015	(415,892.14)	10.00	10.00
04/03	04/03	712513650	外汇外币转换 兑换價 1.23	(10.00)	0.00	0.00
31/03	31/03	709068171	应收利息	5.78	5.78	5.78
31/03	31/03		转后结余:-		5.78	5.78
<b>港币 (HKD)</b>						
28/02	28/02		承前结余:-		0.00	0.00
04/03	04/03	71242728	进/支: 征费项目 SH A SHARES PORTFOLIO FEE FOR FEB 2015 = (8.11)	(8.11)	(8.11)	(8.11)
04/03	04/03	712513650	外汇外币转换 兑换價 1.23	12.30	4.19	4.19
31/03	31/03		转后结余:-		4.19	4.19
<b>美元 (USD)</b>						
28/02	28/02		承前结余:-		(58,357.20)	88,136.49
25/02	02/03	707117881	买入 ALTR ALTERA CORP 股数 1,000 平均价 36.6170	(36,660.94)	217,157.27	51,475.55
25/02	02/03	707117891	买入 ALTR ALTERA CORP 股数 500 平均价 36.4999	(18,274.97)	198,882.30	33,200.58
26/02	03/03	707997361	买入 ALTR ALTERA CORP 股数 500 平均价 36.5900	(18,320.00)	67,703.58	14,880.58
26/02	03/03	707997371	买入 ALTR ALTERA CORP 股数 1,000 平均价 36.4500	(36,493.74)	31,209.84	(21,613.16)
26/02	03/03	707997381	买入 ALTR ALTERA CORP 股数 1,000	(36,744.04)	(5,534.20)	(58,357.20)

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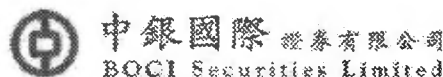
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SFC C.E number: AAC298



客戶編號: 1975-

結單日期: 2015年03月31日

頁數: 2/7

交易日期	交收日期	參考編號	事項細節	金額	賬面結余	結算日結余
03/03	02/03	80730	平均價 36.7000 進/支: INTC INTEL CORP 現金股息 -美金0.24/SHR R/D 07-02-2015 - 1,680.00 US DIVIDEND COLLECTION CHARGE - (2.00) Withholding Tax Payable - (504.00) 交易返回前結算日: 02/03/2015	1,174.00	(4,360.20)	(57,183.20)
04/03	04/03	712513640	外匯外幣轉換 兌換價 6.3015	65,998.91	27,720.03	8,815.71
02/03	05/03	710831001	買入 ALTR ALTERA CORP 股數 2,000 平均價 37.1000	(74,289.04)	(301,148.51)	(65,473.33)
02/03	05/03	710831011	買入 ALTR ALTERA CORP 股數 2,000 平均價 37.0500	(74,188.92)	(375,337.43)	(139,662.25)
02/03	05/03	710831021	買入 ALTR ALTERA CORP 股數 2,000 平均價 36.9699	(74,028.58)	(449,366.01)	(213,690.83)
02/03	05/03	710831041	買入 ALTR ALTERA CORP 股數 2,000 平均價 36.9500	(73,988.68)	(523,354.69)	(287,679.51)
02/03	05/03	710831031	賣出 FSL FREESCALE SEMICONDUCTOR LTD 股數 14,000 平均價 39.6000	553,734.72	30,380.03	266,055.21
03/03	06/03	712330761	買入 ALTR ALTERA CORP 股數 3,000 平均價 36.9500	(110,983.02)	123,813.51	155,072.19
03/03	06/03	712330771	買入 ALTR ALTERA CORP 股數 3,000 平均價 36.6600	(110,111.98)	13,701.53	44,960.21
03/03	06/03	712330731	賣出 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0566	2,805.00	16,506.53	47,765.21
03/03	06/03	712330741	賣出 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0560	2,775.00	19,281.53	50,540.21
03/03	06/03	712330751	賣出 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0567	2,811.50	22,093.03	53,351.71
03/03	06/03	712330781	賣出 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0550	2,725.00	24,818.03	56,076.71
03/03	06/03	712330791	賣出 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0562	2,787.00	27,605.03	58,863.71
03/03	06/03	712330801	賣出 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0560	2,775.00	30,380.03	61,638.71
04/03	09/03	713222211	買入 ALTR ALTERA CORP 股數 1,000 平均價 36.4000	(36,443.68)	27,855.03	25,195.03

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SFC C.E number: AAC298



客戶編號: 71975

結單日期: 2015年03月31日

頁數: 3/7

交易日期	交收日期	參考編號	事項細節	金額	賬面結余	結算日結余
04/03	09/03	713222221	賣出 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0510	2,525.00	30,380.03	27,730.03
05/03	10/03	714197121	賣出 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0537	2,660.00	30,380.03	30,380.03
17/03	17/03	721322651	存款CHATS DEPOSIT VIA BOC HK	119,998.06	44,200.83	150,378.09
18/03	18/03	722111201	存款CHATS DEPOSIT VIA BOC HK	119,998.06	57,721.27	270,376.15
19/03	19/03	723241451	存款CHATS DEPOSIT VIA BOC HK	119,998.06	32,452.72	390,374.21
17/03	20/03	722056551	買入 ALTR ALTERA CORP 股數 3,000 平均價 35.3500	(106,177.26)	(77,869.51)	284,196.95
20/03	20/03	724626161	存款CHATS DEPOSIT VIA BOC HK	119,998.06	42,128.55	404,195.01
18/03	23/03	723146391	買入 ALTR ALTERA CORP 股數 3,000 平均價 35.4500	(106,477.62)	(141,345.98)	297,717.39
23/03	23/03	725874231	存款CHATS DEPOSIT VIA BOC HK	119,998.06	(21,347.92)	417,715.45
19/03	24/03	724329481	買入 ALTR ALTERA CORP 股數 1,000 平均價 36.1850	(36,228.42)	(17,090.68)	381,487.03
19/03	24/03	724329491	買入 ALTR ALTERA CORP 股數 3,000 平均價 36.3025	(109,038.19)	(126,128.87)	272,448.84
24/03	24/03	726805911	存款CHATS DEPOSIT VIA BOC HK	24,998.06	(101,130.81)	297,446.90
20/03	25/03	725468791	買入 ALTR ALTERA CORP 股數 3,000 平均價 36.7300	(110,322.23)	(420,203.23)	187,124.67
23/03	26/03	726680901	買入 ALTR ALTERA CORP 股數 5,000 平均價 36.6509	(183,474.53)	(487,257.35)	3,650.14
24/03	27/03	727670281	買入 ALTR ALTERA CORP 股數 3,000 平均價 36.1987	(108,726.55)	(595,427.87)	(105,076.41)
24/03	27/03	727670291	賣出 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0390	1,925.60	(593,502.27)	(103,150.81)
24/03	27/03	727670301	賣出 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0409	2,020.00	(591,482.27)	(101,130.81)
25/03	30/03	728560711	買入 ALTR ALTERA CORP 股數 3,000 平均價 35.0900	(105,396.32)	(428,266.65)	(206,527.13)
25/03	30/03	728560721	買入 ALTR ALTERA CORP 股數 3,000 平均價 35.7400	(107,348.66)	(535,615.31)	(313,875.79)
25/03	30/03	728560731	買入 ALTR ALTERA CORP 股數 3,000 平均價 35.4000	(106,327.11)	(641,942.75)	(420,203.23)
26/03	31/03	729457691	買入 ALTR ALTERA CORP	(68,929.12)	(643,817.75)	(489,132.35)

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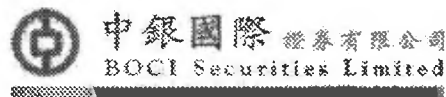
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SFC C.E number: AAC298



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交易日期	交收日期	參考編號	事項細節	金額	賬面結余	結算日結余
			股數 2,000 平均價 34.4233			
26/03	31/03	729457711	賣出 DNDNQ DENDREON CORP 股數 50,000 平均價 0.0380	1,875.00	(641,912.75)	(487,257.35)
31/03	31/03	709068331	應付利息	(114.48)	(642,057.23)	(487,371.83)
31/03	31/03	709068331	應收利息	1.05	(642,056.18)	(487,370.78)
31/03	31/03		轉后結余:-		(642,056.18)	(487,370.78)

交易日期	交收日期	參考編號	事項細節	金額
<b>美元 (USD)</b>				
27/03	01/04	730366091	買入 ALTR ALTERA CORP 股數 3,000 平均價 34.7000	(104,224.92)
30/03	02/04	731915161	買入 ALTR ALTERA CORP 股數 1,200 平均價 42.0000	(50,460.48)
			待結算總金額	(154,685.40)

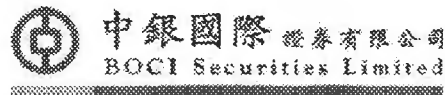
證券代碼/ 月初持股量 名稱	本月股份進支	待結算股數	暫不可用股數	月終持股量	收市價	总市值	(孖展比率%) 孖展價值
<b>美國股票市場 (USD)</b>							
ALTR ALTERA CORP	0	51,000	4,200	0	55,200	42.910000	2,368,632.00
							(60)
DNDNQ DENDREON CORP	1,900,000	(550,000)	0	0	1,350,000	0.039000	52,650.00
							(0)
FSL FREESCALE SEMICONDUCTOR LTD	14,000	(14,000)	0	0	0	40.760000	0.00
							(0)
總額 (相當于港元)						18,775,831.27	11,020,534.11

貨幣	日期	應收利息	應付利息
<b>人民幣 (CNY)</b>			
	01/03-31/03	利率: 0.250000%	利率: 11.500000%
<b>港幣 (HKD)</b>			
	01/03-31/03	利率: 0.010000%	利率: 5.000000%

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SFC C.E number: AAC298



客戶編號: 1975

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貨幣	日期	應收利息	應付利息
美元 (USD)		利率:	利率:
	01/03-31/03	0.010000%	3.250000%

<b>人民幣 (CNY)</b>			
現金結餘 (包括後結餘及待交收之交易淨額)			5.78
證券市值			0.00
帳戶淨值			5.78
	兌換率: 相當于港元 1.249000		7.22
<b>港幣 (HKD)</b>			
現金結餘 (包括後結餘及待交收之交易淨額)			4.19
證券市值			0.00
帳戶淨值			4.19
<b>美元 (USD)</b>			
現金結餘 (包括後結餘及待交收之交易淨額)			(642,056.18)
證券市值			2,421,282.00
帳戶淨值			1,779,225.82
	兌換率: 相當于港元 7.754500		13,797,006.62
<b>綜合戶口概況: 港元等值</b>			
現金結餘 (包括後結餘及待交收之交易淨額)			(4,978,813.24)
證券市值			18,775,831.27
帳戶淨值			13,797,018.03
可用孖展價值 (證券孖展價值/信貸額 (以較低者為準))			5,000,000.00
信貸額 (有效至: 25/03/2016)			5,000,000.00
可用結餘/ (追收孖展金額) (包 現金結餘 及 證券孖展價值/信貸額 (以較低者為準))			21,186.76

01. 閣下可于本公司网页(www.bocionline.com)查询最新服务收费。如 閣下未能成功进入本公司网页查阅最新收费表, 请与 閣下的客户经理联络。	
02. 此单据所载之货币兑换率只作参考用途及不应视作最终结单之真实持有价值。	
03. 为了提供更好的服务水平, 閣下现可以透过中银国际证券有限公司网站www.bocionline.com的服务下载日结单或月结单。关于下载指示, 请到 http://www.bocionline.com/ch/home/products_and_services.htm 选取“客户服务平台”。	
04. 閣下如欲查询本账单或下载账单事项, 请与 閣下的客户经理联络或致电24小时服务专线(852) 2121-0088或发电邮到service@bocigroup.com。	

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客戶編號: 1975-

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05. 为保障客户资产, 由2012年11月3日起, 中银国际证券有限公司的网上提款服务平台只供阁下下达提款指示, 汇款已由阁下预先登记的香港银行账户。如 阁下欲登记银行账户作提款之用, 请与阁下的客户经理或于办公时间致电852-2121-0088。
06. 新加坡、日本、英国、德国、法国、瑞典及荷兰证券市场的交易服务收费将于2012年11月5日起作出调整, 详情请参阅网页。
07. 由2012年12月1日起, 澳洲股票市场将会新增以下两项代理人服务收费: (1) 索取股东周年或特别大会议程 - 每宗60澳元; 及 (2) 委托代理人表决或出席会议 - 每宗60澳元。
08. 由2014年5月2日起, 新加坡证券交易清算费将由0.04%下调至0.0325%, 该收费的600新元上限亦会同时删除。请注意, 有关新加坡证券交易清算费的调整将延迟至2014年6月1日起生效。
09. 公司行动 - 零碎股处理 就某些美国、加拿大及欧洲上市股票的公司行动, 获派零碎股的股东可能会以收取现金(即: 出售零碎股所得款项的净额)代替收取零碎股份。请注意, 相应现金款额将由我们以市价出售零碎股后记入在相关股东的账户上, 有关的支付可能迟于实际公司行动的付款日。
10. 中银国际证券将于2014年2月21日(「终止日」)起终止提供网上基金交易服务。客户可在终止日后透过客户经理/投资服务代表处理基金交易的指示。
11. 部分海外股票市场的无纸化股票存入手续费将会由2014年8月1日起下调, 详情请参阅我司网页「最新消息」。
12. 中银国际证券有限公司将由2014年7月28日起停止收取新加坡股票市场的SGX交易系统费。
13. 备注: (1) 沪港股票交易机制中的北向交易将按照上交所A股市场的交收周期, 股票交收将于T日进行而款项交收将于T+1日完成。于「交易总览」部份所标之北向交易的交收日, 会以款项交收日显示, 然而股票的交收已于交易日发生及反映于证券持仓摘要中。  
(2) 在此文件所列与有关北向交易的费用及税款(如有)已经/将会透过香港交易所缴交中国证券登记结算有限责任公司再处理。
14. 根据港交所通告, 沪港通推出日期为2014年11月17日。客户在交易或买卖中港通股票前, 请先细阅我司网页(www.bocionline.com)上的中港通补充条款。在任何时向中银国际证券有限公司通过中港通下单或以其他方式从事有关中港通交易, 中港通补充条款被视为适用于该等指示或交易。
15. 因应市场变化, 由2015年2月1日起, 本公司之瑞士法郎存款年利率将调整为-1%。  
如阁下有任何疑问, 请与客户经理联络或致电本公司客户服务热线(852) 2718-9666查询。
- 具体事项: 自2013年3月1日起, 除非另有明确限定, 客户透过中银国际证券买卖意大利常驻公司发行的股票、参与的金融工具、及相当于股本投资证券的所有权的所有交易(例如: 普拉达(股票代码: 01913.hk))时均需缴意大利金融交易税。  
于2013年3月1日至2013年4月30日期间所进行的相关交易, 相关的意大利金融交易税将于2013年5月3日从客户的账户中扣除。于2013年5月1日起进行的相关交易, 本公司将于该等交易的结算日从客户的账户内扣除相关的意大利金融交易税。详情请参阅我司的网站www.bocionline.com。  
\*\* "CPN资本收益费用" 指由发行商根据CPN产品的细则及条款所扣留之预计资本收益预扣税款。

- 完 -  
关于阁下的结单

如本行在此结单发出日期之48小时内没有收到阁下的任何书面反对通知, 本结单内之所有纪录将被视为正确无误。  
此单据由计算机编印, 毋须签署。  
此单据所载之收市价及市值只作参考用途及不应视为最终结单之真实持有量。  
由于考虑到给予贵帐户之信贷服务, 贵帐户存放于中银国际证券有限公司之证券将作为抵押品。  
融资届满日期为 2016年03月25日。  
此单据亦作为存单及/或提货收据。  
就有关阁下与中银国际证券有限公司进行之证券借贷交易(如有), 请参阅另外寄上之证券借贷结单。

**债券交易之风险披露声明**

以下风险披露声明不能披露所有涉及的风险。您需在交易或投资之前负责自身的资料收集和研。您应按个人自身的财务状况及投资目标谨慎考虑是否适宜进行交易或投资。我们建议您

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SFC C.E number: AAC298



客戶編號: 1975-1000

結單日期: 2015年03月31日

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于进行交易或投资前寻求独立的财务及专业意见。

投资涉及风险，债券价格可能及确实会波动。任何一只债券的价格可能会上升及下跌，甚至变成毫无价值。买卖债券有其内在风险，因此未必一定能获取利润，反而可能导致损失。同理，买卖债务性证券也有其内在风险，因此未必一定能获取利润，反而可能导致损失。债券持有人需承受发行者的信贷风险，及不能向中银国际证券有限公司追索任何赔偿。

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SFC C.E number: AAC296



## Monthly Account Statement

Zheng Bo  
Room 701, Jiaxyuan Building 8  
No. 578 Furong South Road Section One  
Changsha City, Hunan Province  
China

Statement Date: March 31, 2015

Customer Number: 1975-

Account Type: Margin Account

AE Code: AE611

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Account Name: Zheng Bo

Transaction Overview						
Transaction Date	Settlement Date	Reference Number	Item Details	Amount	Account Balance	Settlement Day Balance
<b>Renminbi (CNY)</b>						
February 28	February 28		Balance brought forward: -		415,902.14	0.00
February 27	March 2	708127661	Sold shares of China Railway Construction Corporation Limited (601186) Number of shares: 30,000 Average price: 13.9000	415,902.14	415,902.14	415,902.14
March 4	March 4	712513640	Foreign currency exchange Exchange rate: 6.3015	(415,892.14)	10.00	10.00
March 4	March 4	712513650	Foreign currency exchange Exchange rate: 1.23	(10.00)	0.00	0.00
March 31	March 31	709068171	Interest receivable	5.78	5.78	5.78
March 31	March 31		Balance after fund transfer is complete: -		5.78	5.78
<b>Hong Kong Dollar (HKD)</b>						
February 28	February 28		Balance brought forward: -		0.00	0.00
March 4	March 4	71242728	Deposit/withdrawal: Fees SH A SHARES PORTFOLIO FEE FOR FEB 2015 - (8.11)	(8.11)	(8.11)	(8.11)
March 4	March 4	712613650	Foreign currency	12.30	4.19	4.19

			exchange Exchange rate: 1.23			
March 31	March 31		Balance after fund transfer is complete: -		4.19	4.19
<b>United States Dollar (USD)</b>						
February 28	February 28		Balance brought forward: -		(58,357.20)	88,136.49
February 25	March 2	707117881	Purchased shares of ALTR ALTERA CORP Number of shares: 1,000 Average price: 36.6170	(36,660.94)	217,157.27	51,475.55
February 25	March 2	707117891	Purchased shares of ALTR ALTERA CORP Number of shares: 500 Average price: 36.4999	(18,274.07)	(199,882.30)	32,200.58
February 26	March 3	707997361	Purchased shares of ALTR ALTERA CORP Number of shares: 500 Average price: 36.5900	(18,320.00)	67,703.58	14,880.58
February 26	March 3	707997371	Purchased shares of ALTR ALTERA CORP Number of shares: 1,000 Average price: 36.4500	(36,493.74)	31,209.84	(21,613.16)
February 26	March 3	707997381	Purchased shares of ALTR ALTERA CORP Number of shares: 1,000	(36,744.04)	(5,534.20)	(58,357.20)

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SFC C.E number: AAC298



Customer Number: 1975-

Statement Date: March 31, 2015

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Transaction Overview						
Transaction Date	Settlement Date	Reference Number	Item Details	Amount	Account Balance	Settlement Day Balance
			Average price: 36.7000			
March 3	March 2	80730	Deposit/withdrawal: INTC INTEL COPR Cash dividend – USD 0.24/SHR R/D 07-02- 2015-1,680.00 US DIVIDEND COLLECTION CHARGE – (2.00) Withholding Tax Payable – (504.00) Settlement date before transaction returned: March 2, 2015	1,174.00	(4,360.20)	(57,183.20)
March 4	March 4	712513640	Foreign currency exchange Exchange rate: 6.3015	65,998.91	27,720.03	8,815.71
March 2	March 5	710831001	Purchased shares of ALTR ALTERA CORP Number of shares: 2,000 Average price: 37.1000	(74,289.04)	(301,148.51)	(65,473.33)
March 2	March 5	710831011	Purchased shares of ALTR ALTERA CORP Number of shares: 2,000 Average price: 37.0500	(74,188.92)	(375,337.43)	(139,662.25)
March 2	March 5	710831021	Purchased shares of ALTR ALTERA CORP Number of shares: 2,000 Average price: 36.9699	(74,028.58)	(440,366.01)	(213,690.83)
March 2	March 5	710831041	Purchased shares of ALTR ALTERA CORP Number of shares:	(73,986.66)	(523,354.69)	(267,679.51)

			2,000 Average price: 36.9500			
March 2	March 5	710831031	Sold shares of FSL FREESCALE SEMICONDUCTOR LTD Number of shares: 14,000 Average price: 39.6000	553,734.72	30,380.03	266,055.21
March 3	March 6	712330761	Purchased shares of ALTR ALTERA CORP Number of shares: 3,000 Average price: 36.9500	(110,983.02)	123,813.51	155,072.19
March 3	March 6	712330771	Purchased shares of ALTR ALTERA CORP Number of shares: 3,000 Average price: 36.6600	(110,111.98)	13,701.53	44,960.21
March 3	March 6	712330731	Sold shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0566	2,805.00	16,506.53	47,765.21
March 3	March 6	712330741	Sold shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0560	2,775.00	19,281.53	50,540.21
March 3	March 6	712330751	Sold shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0567	2,811.50	22,093.03	53,351.71
March 3	March 6	712330781	Sold shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0550	2,725.00	24,818.03	56,076.71
March 3	March 6	712330791	Sold shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0562	2,787.00	27,605.03	58,863.71

March 3	March 6	712330801	Sold shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0560	2,775.00	30,380.03	61,638.71
March 4	March 9	713222211	Purchased shares of ALTR ALTERA CORP Number of shares: 1,000 Average price: 36.4000	(36,443.68)	27,855.03	25,195.03

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Transaction Overview						
Transaction Date	Settlement Date	Reference Number	Item Details	Amount	Account Balance	Settlement Day Balance
March 4	March 9	713222221	Sold shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0510	2,525.00	30,380.03	27,720.03
March 5	March 10	714197121	Sold shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0537	2,660.00	30,380.03	30,380.03
March 17	March 17	721322651	Deposit: CHATS DEPOSIT VIA BOC HK	119,998.06	44,200.83	150,378.09
March 18	March 18	722111201	Deposit: CHATS DEPOSIT VIA BOC HK	119,998.06	57,721.27	270,376.15
March 19	March 19	723241451	Deposit: CHATS DEPOSIT VIA BOC HK	119,998.06	32,453.72	390,374.21
March 17	March 20	722056551	Purchased shares of ALTR ALTERA CORP Number of shares: 3,000 Average price: 35.3500	(106,177.26)	(77,869.51)	284,196.95
March 20	March 20	724626161	Deposit: CHATS DEPOSIT VIA BOC HK	119,998.06	42,128.55	404,195.01
March 18	March 23	723146391	Purchased shares of ALTR ALTERA CORP Number of shares: 3,000 Average price: 35.4500	(106,477.62)	(141,345.98)	297,717.39
March 23	March 23	725874231	Deposit: CHATS DEPOSIT VIA BOC HK	119,998.06	(21,347.92)	417,715.45
March 19	March 24	724329481	Purchased shares of	(36,228.42)	(17,090.68)	381,487.03

			ALTR ALTERA CORP Number of shares: 1,000 Average price: 36.1850			
March 19	March 24	724329491	Purchased shares of ALTR ALTERA CORP Number of shares: 3,000 Average price: 36.3025	(109,038.19)	(126,128.87)	272,448.84
March 24	March 24	726805911	Deposit: CHATS DEPOSIT VIA BOC HK	24,998.06	(101,130.81)	297,446.90
March 20	March 25	725468791	Purchased shares of ALTR ALTERA CORP Number of shares: 3,000 Average price: 36.7300	(11,322.23)	(420,203.23)	167,124.67
March 23	March 26	726680901	Purchased shares of ALTR ALTERA CORP Number of shares: 5,000 Average price: 36.6509	(183,474.53)	(487,257.35)	3,650.14
March 24	March 27	727670281	Purchased shares of ALTR ALTERA CORP Number of shares: 3,000 Average price: 36.1987	(108,726.55)	(595,427.87)	(105,076.41)
March 24	March 27	727670291	Sold shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0390	1,925.60	(593,502.27)	(103,150.81)
March 24	March 27	727670301	Sold shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0409	2,020.00	(591,482.27)	(101,130.81)
March 25	March 30	728560711	Purchased shares of ALTR ALTERA CORP Number of shares: 3,000 Average price:	(105,396.32)	(428,265.65)	(206,527.13)

			35.0900			
March 25	March 30	728560721	Purchased shares of ALTR ALTERA CORP Number of shares: 3,000 Average: 35.7400	(107,348.66)	(536,615.31)	(313,875.79)
March 25	March 30	728560731	Purchased shares of ALTR ALTERA CORP Number of shares: 3,000 Average price: 35.4000	(106,327.14)	(641,942.75)	(420,203.23)
March 26	March 31	729457691	Purchased shares of ALTR ALTERA CORP	(68,929.12)	(643,817.75)	(489,132.35)

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SEC-HKSFC-E-0003703

SFC C.E number. AAC298

 中銀國際證券有限公司  
 BOCI Securities Limited



Customer Number: 1975-

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Transaction Overview						
Transaction Date	Settlement Date	Reference Number	Item Details	Amount	Account Balance	Settlement Day Balance
			Number of shares: 2,000 Average price: 34.4233			
March 26	March 31	729457711	Sold shares of DNDNQ DENDREON CORP Number of shares: 50,000 Average price: 0.0380	1,875.00	(641,942.75)	(487,257.35)
March 31	March 31	709068331	Interest payable	(114.48)	(642,057.23)	(487,371.83)
March 31	March 31	709068331	Interest receivable	1.05	(642,055.18)	(487,370.78)
March 31	March 31		Balance after fund transfer is complete: -		(642,056.18)	(487,370.78)

Transactions Pending for Settlement				
Transaction Date	Settlement Date	Reference Number	Item Details	Amount
United States Dollar (USD)				
March 27	April 1	730366091	Purchased shares of ALTR ALTERA CORP Number of shares: 3,000 Average price: 34.7000	(104,224.92)
March 30	April 2	731915161	Purchased shares of ALTR ALTERA CORP Number of shares: 1,200 Average price: 42.0000	(50,460.48)
Total amount pending for settlement:				(154,685.40)

Securities Custody Summary								
Security Code/Name	Number of Shares Held at Beginning of Month	Share Activities This Month	Number of Shares Pending for Settlement	Number of Shares Temporarily Unavailable	Number of Shares Held at the End of the Month	Closing Price	Total Market Value	(Margin Ratio %) Margin Value
United States stock market (USD)								
ALTR	ALTERA CORP 0	51,000	4,200	0	55,200	42.9100 00	2,368,632. 00	(60) 1,421,179. 20
DNDNQ	DENDREON CORP 1,900,000	(550,00 0)	0	0	1,350,0 00	0.03900 0	52,650.00	(0) 0.00
FSL	FREESCALE SEMICONDUCTOR LTD 14,000	(14,000 )	0	0	0	40.7600 00	0.00	0.00

Total amount (equivalent to Hong Kong dollars) 18,775,831.27 11,020,534.11
--

Interest Summary			
Currency	Date	Interest Receivable	Interest Payable
<u>Renminbi (CNY)</u>		Interest Rate:	Interest Rate:
	March 1 to March 31	0.250000%	11.500000%
<u>Hong Kong Dollar (HKD)</u>		Interest Rate:	Interest Rate:
	March 1 to March 31	0.010000%	5.000000%

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SEC-HKSFC-E-0003704

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Interest Summary			
Currency	Date	Interest Receivable	Interest Payable
United States Dollar (USD)		Interest Rate:	Interest Rate:
	February 1 to February 26	0.010000%	3.250000%

Account Overview			
<u>Renminbi (CNY)</u>			
Cash balance (including the balance after transfer and pending net transaction amount)			5.78
Market value of securities			0.00
Net amount on the account			5.78
	Exchange rate: Equal to 1.249000 Hong Kong dollars		7.22
<u>Hong Kong Dollar (HKD)</u>			
Cash balance (including the balance after transfer and pending net transaction amount)			4.19
Market value of securities			0.00
Net amount on the account			4.19
<u>United States Dollar (USD)</u>			
Cash balance (including the balance after transfer and pending net transaction amount)		(642,056.18)	
Market value of securities		2,421,282.00	
Net amount on the account		1,779,225.82	
	Exchange rate: Equal to 7.754500 Hong Kong dollars		13,797,006.62
<u>Omnibus Account Summary: HKD equivalent</u>			
Cash balance (including the balance after transfer and pending net transaction amount)		(4,978,813.24)	
Market value of securities		18,775,831.27	
Net amount on the account		13,797,018.03	
Available Margin Value (Securities Margin Value/Line of Credit (whichever is lower)		5,000,000.00	
Line of credit (valid until March 25 1, 2016)		5,000,000.00	
Available balance (margin call) (including cash balance and securities margin value/line of credit) (whichever is lower)			21,186.76

Remarks	
01. You can use our webpage ( <a href="http://www.bocionline.com">www.bocionline.com</a> ) to find out the latest service fees. If you are unable to access our webpage to find out the list of latest fees, please contact your account manager.	
02. Currency exchange rates listed in this statement can only be used for reference, and they can't be considered as the actual values held in the final statement.	
03. In order to provide better services, you now can download daily statements or monthly statements through related services on BOCI Securities Limited's website, which is <a href="http://www.bocionline.com">www.bocionline.com</a> . As for downloading instructions, please visit <a href="http://www.bocionline.com/ch/home/products_and_services.htm">http://www.bocionline.com/ch/home/products_and_services.htm</a> and then select "customer service platform".	
04. If you would like to look for this statement or download the statement, please contact your account manager or dial 24-hour service line, which is (852) 2121-0088. You can also send an email to <a href="mailto:service@bocigroup.com">service@bocigroup.com</a> .	

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SEC-HKSEC-E-0003705

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## Remarks

05. In order to protect customers' assets, starting from November 3, 2012, the online withdrawal service platform of BOCI Securities Limited can only allow you to issue withdrawal orders, and money will be sent to the Hong Kong bank account you have already registered earlier. If you want to register a bank account for withdrawal purpose, please contact your account manager or dial 852-2121-0088 during business hours.
06. Starting from November 5, 2012, service fees toward transactions made on securities market in Singapore, Japan, Britain, Germany, France, Sweden or the Netherlands will be adjusted, and please check our webpage for details.
07. Starting from December 1, 2012, two agent service fees will be added toward the Australian stock market, including: (1) 60 Australian dollars will be charged for each shareholders' annual or special meeting agenda; and (2) 60 Australian dollars will be charged for entrusting agents to vote or attend meetings each time.
08. Starting from May 2, 2014, Singapore securities transaction settlement fee will be reduced from 0.04% to 0.0325%. Also, the upper limit of 600 Singapore dollars toward this fee will be removed. Please note that the effective date of the adjustment made to Singapore securities transaction settlement fee will be delayed to June 1, 2014.
09. Company's actions – Handling of fractional shares: As for company's actions related with some stocks listed in the United States, Canada, and Europe, shareholders receiving fractional shares may collect cash (this refers to the net amount obtained from selling fractional shares) instead of collecting fractional shares. Please note that we will credit corresponding cash amount to the accounts of related shareholders after we sell fractional shares based on market prices, and related payments may be later than the payment date for actual company's actions.
10. Starting from February 21, 2014 ([termination date]), BOCI Securities will terminate online fund transaction service. Customers can get instructions for handling fund transactions from account managers or investment service agents after the termination date.
11. Starting from August 1, 2014, paperless stock deposit fee toward some overseas stock markets will be reduced, and please check [latest news] on our webpage for details.
12. Starting from July 28, 2014, BOCI Securities Limited will stop charging the SGX transaction system fee toward the Singapore stock market.
13. Note: (1) Northbound trading in Shanghai-Hong Kong Stock Connect will follow the settlement cycle on the A share market of Shanghai Stock Exchange. Stock settlement will be made on the T day, while money settlement should be completed on the T+1 day. The settlement day of northbound trading indicated in "transaction overview" will be shown as money settlement day; however, stock settlement has already occurred on the trading day and is reflected in securities custody summary. (2) Fees and taxes that are listed in this document and related with northbound trading (if these fees and taxes exist) have already been paid/will be paid to China Securities Depository and Clearing Co., Ltd. through Hong Kong Exchanges and Clearing Limited, and these fees and taxes will then be handled by China Securities Depository and Clearing Co., Ltd.
14. According to the notice issued by Hong Kong Exchanges and Clearing Limited, the launch date of Shanghai-Hong Kong Stock Connect is November 17, 2014. Before trading, purchasing, or selling stocks in the Shanghai-Hong Kong Stock Connect program, customers should carefully read supplemental rules about Shanghai-Hong Kong Stock Connect first. These rules are listed on our webpage ([www.bocionline.com](http://www.bocionline.com)). Whenever you place an order to BOCI Securities Limited through Shanghai-Hong Kong Stock Connect or use other means to conduct transactions related with Shanghai-Hong Kong Stock Connect, supplemental rules about Shanghai-Hong Kong Stock Connect will apply to

this kind of instructions or transactions.

15. Due to changes on the market, starting from February 1, 2015, our annual Swiss franc deposit interest rate will be adjusted to -1%.

If you have any question, please contact your account manager or dial (852) 2718-9666, which is our customer service line, for inquiries.

Specific matters: Starting from March 1, 2013, unless otherwise specified, customers should pay Italian financial transaction tax when they purchase or sell the stocks issued by companies resident in Italy or participating financial instruments or conduct all transactions related with ownership of securities representing equity investment (such as Prada (01913.hk)) through BOCI Securities. As for related transactions conducted between March 1, 2013 and April 30, 2013, corresponding Italian financial transaction tax will be deducted from customers' accounts on May 3, 2013. As for related transactions conducted on May 1, 2013 or after May 1, 2013, we will deduct corresponding Italian financial transaction tax from customers' accounts on the settlement date of these transactions. Please visit our website [www.bocionline.com](http://www.bocionline.com) for details.

\*\* "CPN capital gain fee" refers to the estimated capital gain tax deducted by issuers in advance according to the *Detailed Rules and Regulations about CPN Products*.

-End-

#### About your statement

If we don't receive any written notice of opposition from you within 48 hours after this statement is sent out, then all records in this statement will be considered accurate and error-free.

This statement is made and printed out by a computer, so there is no need to sign it.

The closing prices and market values listed in this statement can be only used for reference, and they should not be considered as actual amounts held in the final statement.

Given the credit services provided to your account, the securities your account has deposited at BOCI Securities Limited will be used as a guarantee.

The expiration date of financing is March 25, 2016.

This statement can also be used as a deposit and/or withdrawal receipt.

As for securities borrowing and lending transactions between you and BOCI Securities Limited (if this types of transactions exists), please check the attached securities borrowing and lending statement.

#### Bond transaction risk disclosure statement

The following risk disclosure statement is unable to disclose all risks involved. You need to collect and study your own materials before conducting transactions or making investment. You



should carefully consider whether it is proper for you to conduct transactions or make investment based on your own financial situation and investment goal.

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SEC-HKSFC-E-0003706

SFC C.E number. AAC298



Customer Number: [REDACTED] 1975-[REDACTED]

Statement Date: March 31, 2015

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We suggest that you seek independent financial and professional opinions before conducting transactions or making investment.

Investment will involve risks. Bond prices may and indeed will fluctuate. The price of any bond may rise or drop or even loses all its value. Purchasing and selling bonds has its own risks, so it is unnecessary that you definitely will make a profit. On the contrary, you may lose money. Similarly, purchasing and selling debt securities also has its own risks, so it is unnecessary that you definitely will make a profit. On the contrary, this may lead to losses. Bondholders have to undertake issuers' credit risks, and they can't seek compensation from BOCI Securities Limited.

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SEC-HKSFC-E-0003707



## CERTIFICATION

This is to certify that the attached English language documents are true and accurate translations of the original Chinese language documents to the best of our knowledge and belief. The documents are identified as below:

"Bo Zheng Feb 2015 BOCI Statement" and "Bo Zheng March 2015 BOCI Statement"

Schreiber Translations, Inc.

51 Monroe Street

Suite 101

Rockville, MD 20850

P: 301.424.7737

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Executed this 29<sup>th</sup> day  
of July, 2016

Schreiber Translations, Inc.  
51 Monroe Street, Suite 101  
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